

P.O. Box 747  
Falls Church, Virginia 22040-0747  
Phone: (703) 205-8000  
Fax: (703) 205-8050  
(703) 698-8590 (GIV)

**Birch, Stewart, Kolasch & Birch, LLP**

**RECEIVED**  
**CENTRAL FAX CENTER**  
**APR 24 2007**

# Fax

To:	USPTO	From:	D. Richard Anderson
			No.:40,439
Fax:	(571) 273-8300	Pages:	8 (including cover sheet)
Application No(s):	10/562,461	Our Ref(s):	4633-0155PUS1
Subject:	Request for a Corrected Official Filing Receipt		

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution, or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited. If your receipt of this transmission is in error, please notify this firm immediately by collect call to (703) 205-8000, and send the original transmission to us by return mail at the address above.

**CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on APR 20 2007

Abebech N. Gudeta  
Signature

Abebech N. Gudeta  
Printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

**RECEIVED  
CENTRAL FAX CENTER**

APR 24 2007

PATENT  
4633-0155PUS1

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): KATO, Ryogo et al.      Conf.: 8173  
Appl. No.: 10/562,461      Group: Unknown  
Filed: December 27, 2005      Examiner: Unknown  
For: REFRIGERATION APPARATUS

REQUEST FOR A CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

APR 20 2007

Sir:

Attached hereto is the Official Filing Receipt in connection  
with the above-identified application.

THE FOLLOWING CORRECTION(S) IS/ARE RESPECTFULLY REQUESTED:

Title-

Change from: "FREEZER DEVICE"

To: --"REFRIGERATION APPARATUS"

It is respectfully requested that the U.S. Patent and  
Trademark Office forward/issue a new Filing Receipt with the  
correction(s) indicated above. Support for the correction(s) is  
readily apparent on the enclosed photocopy of the Declaration and  
Power of Attorney document.

If necessary, the Commissioner is hereby authorized in this,  
concurrent, and future replies, to charge payment or credit any  
overpayment to Deposit Account No. 02-2448 for any additional

Appl. No. 10/562,461

fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17;  
particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KODASCH & BIRCH, LLP

By   
D. Richard Anderson, #40,439

DRA/ang  
4633-0155PUS1

Attachment(s)

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/562,461	12/27/2005	3748	900	4639-0156PUS1	11	5	1

CONFIRMATION NO. 8173

## FILING RECEIPT

10/562,461 12/27/2005 3748 900 4639-0156PUS1 11 5 1  
 \*OC000000018447522\*

02292  
 BIRCH STEWART KOLASCH & BIRCH  
 PO BOX 747  
 FALLS CHURCH, VA 22040-0747

Date Mailed: 04/06/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents, P.O. Box 1450, Alexandria, Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ryogo Kato, Osaka, JAPAN  
 Yoshitaka Shibamoto, Osaka, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 02292.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/10620 07/26/2004

## Foreign Applications

JAPAN 2003-281171 07/28/2003

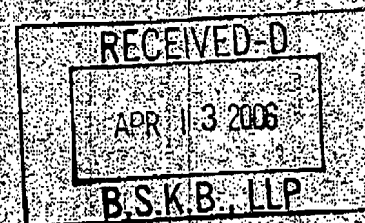
If Required, Foreign Filing License Granted: 04/03/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/562,461

Projected Publication Date: 07/13/2006

Non-Publication Request: No

Early Publication Request: No



Title

Freezer device

Preliminary Class

418

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof.



Unless it is revoked, this license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time. If the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form, Applicant may still petition for a license under 37 CFR 5.12; if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.16(b).

**COPY****BIRCH, STEWART, KOLASCH & BIRCH, LLP**P.O. Box 747 • Falls Church, Virginia 22040-0747  
Telephone: (703) 205-8000 • Facsimile: (703) 205-8050Attorney Docket No.  
4633-0155PUS1**RECEIVED  
CENTRAL FAX CENTER**

APR 24 2007

LEASE NOTE:  
YOU MUST  
COMPLETE THE  
FOLLOWING**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT AND DESIGN APPLICATIONS**

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**REFRIGERATION APPARATUS**

Insert Title:

Fill in Appropriate

Information -  
or Use Without  
Specification  
Attached:

the specification of which is attached hereto. If not attached hereto, the application is identified by the attorney docket number as set forth above and/or the following:

The specification was filed on \_\_\_\_\_ as  
United States Application Number \_\_\_\_\_;  
and amended on \_\_\_\_\_ (if applicable) and/or  
the specification was filed on July 26, 2004 as PCT  
International Application Number PCT/JP2004/10620 and was  
amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representative or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s)****Priority Claimed**Insert Priority  
Information:  
(if appropriate)2003-281171  
(Number)Japan  
(Country)07/28/2003  
(Month/Day/Year Filed)☒ ☐  
Yes No

(Number)

(Country)

(Month/Day/Year Filed)

☐ ☐  
Yes No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below.

Insert Provisional  
Application(s):  
(if any)

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More than 12 Months (6 Months for Designs) Prior to the Filing Date of This Application:

Insert Requested  
Information:  
(if appropriate)

Country

Application Number

Date of Filing (Month/Day/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and/or PCT application(s), including for continuation-in-part application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States and/or PCT application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Insert Prior U.S.  
Application(s):  
(if any)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

Page 1 of 2  
(Rev. 12/2002)

Attorney Docket No.

**COPY**

I hereby appoint the practitioners at CUSTOMER NO. 2292 as my attorneys or agents to prosecute this application and/or an international application based on this application and to transact all business in the United States Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the practitioners, unless the inventor(s) or assignee provides said practitioners with a written notice to the contrary:

Send Correspondence to:

**BIRCH, STEWART, KOLASCH & BIRCH, LLP** or CUSTOMER NO. 2292  
P.O. Box 747 • Falls Church, Virginia 22040-0747  
Telephone: (703) 205-8000 • Facsimile: (703) 205-8050

LEASE NOTE:  
YOU MUST  
COMPLETE  
THE  
FOLLOWING:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

ii Name of First  
or Self-Inventor  
sent Name of  
Inventor  
sent Date This  
Document is Signed

sent Residence  
sent Citizenship  
sent Post Office  
Address

iii Name of Second  
Inventor, if any:  
see above

iv Name of Third  
Inventor, if any:  
see above

v Name of Fourth  
Inventor, if any:  
see above

vi Name of Fifth  
Inventor, if any:  
see above

vii Name of Sixth  
Inventor, if any:  
see above

<b>GIVEN NAME/FAMILY NAME</b> Ryogo KATO	<b>INVENTOR'S SIGNATURE</b> <i>Ryogo Kato</i>	<b>DATE*</b> Sep 7, 2004
<b>Residence (City, State &amp; Country)</b> Osaka, Japan		<b>CITIZENSHIP</b> Japan
<b>MAILING ADDRESS (Complete Street Address including City, State &amp; Country)</b> C/O Rinkai Factory, Sakai Plant, DAIKIN INDUSTRIES, LTD., 12, Chikkou-shinmachi 3-cho, Sakai-shi, Osaka 592-8331, Japan		
<b>GIVEN NAME/FAMILY NAME</b> Yoshitaka SHIBAMOTO	<b>INVENTOR'S SIGNATURE</b> <i>Yoshitaka Shibamoto</i>	<b>DATE*</b> Aug 23, 2004
<b>Residence (City, State &amp; Country)</b> Osaka, Japan		<b>CITIZENSHIP</b> Japan
<b>MAILING ADDRESS (Complete Street Address including City, State &amp; Country)</b> C/O Kanaoka Factory, Sakai Plant, DAIKIN INDUSTRIES, LTD., 1304, Kanaoka-cho, Sakai-shi, Osaka 591-8511, Japan		
<b>GIVEN NAME/FAMILY NAME</b>	<b>INVENTOR'S SIGNATURE</b>	<b>DATE*</b>
<b>Residence (City, State &amp; Country)</b>		<b>CITIZENSHIP</b>
<b>MAILING ADDRESS (Complete Street Address including City, State &amp; Country)</b>		
<b>GIVEN NAME/FAMILY NAME</b>	<b>INVENTOR'S SIGNATURE</b>	<b>DATE*</b>
<b>Residence (City, State &amp; Country)</b>		<b>CITIZENSHIP</b>
<b>MAILING ADDRESS (Complete Street Address including City, State &amp; Country)</b>		
<b>GIVEN NAME/FAMILY NAME</b>	<b>INVENTOR'S SIGNATURE</b>	<b>DATE*</b>
<b>Residence (City, State &amp; Country)</b>		<b>CITIZENSHIP</b>
<b>MAILING ADDRESS (Complete Street Address including City, State &amp; Country)</b>		
<b>GIVEN NAME/FAMILY NAME</b>	<b>INVENTOR'S SIGNATURE</b>	<b>DATE*</b>
<b>Residence (City, State &amp; Country)</b>		<b>CITIZENSHIP</b>
<b>MAILING ADDRESS (Complete Street Address including City, State &amp; Country)</b>		